

Docket No.: 110267-111 US1PATENT/OFFICIAL**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of

David W. Stebbings

Serial No. 09/315,102

Filed: 20 May 1999

Group Art Unit: 2131

Examiner: Aravind K. Moorthy

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For: MODULATION METHOD FOR MINIMIZING PIRATING AND/OR  
UNAUTHORIZED COPYING AND/OR UNAUTHORIZED ACCESS OF/TO  
DATA ON/FROM DATA MEDIA INCLUDING COMPACT DISCS AND DIGITAL VERSATILE DISCS

COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Transmitted herewith is an Amendment in the above identified application.

☐ No additional fee is required.☐ Small Entity Status has been previously established.☒ Also attached: Interview Request, Petition for Extension of Time☒ The fee has been calculated as shown below:

LARGE ENTITY

	NO. OF CLAIMS	HIGHEST PREVIOUSLY PAID FOR	EXTRA CLAIMS	RATE	FEE
Total Claims	52	52		x 18 =	0.00
Independent Claims	7	7		x \$84 =	0.00
If multiple claims newly presented, add \$280.00					
Fee for extension of time (3-month)					1,020.00
<b>TOTAL FEE DUE</b>					<b>1,020.00</b>

☒ Please charge my Deposit Account No. 08-0219 in the amount of \$ 1,020.00.

☒ The Commissioner is hereby authorized to charge payment of any fees associated with this communication or credit any overpayment, to Deposit Account No. 08-0219, including any filing fees under 37 CFR 1.16 for presentation of extra claims and any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,

Wilmer Cutler Pickering Hale and Dorr LLP

  
 Ian H. Donner

Registration No. 35,120

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Date: 12/22/04

IHD/110267-111 US1

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Re: U.S. Patent Application No. 09/315,102 filed 05/20/1999  
Title: Modulation Method for Minimizing Pirating  
First Inventor: David W. Stebbings  
Examiner: Aravind K. Moorthy Group Art Unit: 2131  
Attorney Docket No.: 110267.111-US1

## Certificate of Transmission under 37 CFR 1.8

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## Document

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Transmittal

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Petition for Extension of Time

1

Interview Request

1

Total Number of Pages (including this Certificate of Transmission)

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PTOL-413A (09-04)  
 Approved for use through 07/31/2006. OMB 0851-0031  
 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

### Applicant Initiated Interview Request Form

Application No. 09/315,102 First Named Applicant: David W. Stebbings  
 Examiner: Aravind K. Moorthy Art Unit: 2131 Status of Application: Pending

#### Tentative Participants:

(1) Irah H. Donner, Esq. (2) David W. Stebbings  
 (3) \_\_\_\_\_ (4) \_\_\_\_\_

Proposed Date of Interview: \_\_\_\_\_ Proposed Time: \_\_\_\_\_ (AM/PM)

#### Type of Interview Requested:

(1) ☐ Telephonic (2) ☒ Personal (3) ☐ Video Conference

Exhibit To Be Shown or Demonstrated: ☐ YES ☐ NO

If yes, provide brief description: \_\_\_\_\_

### Issues To Be Discussed

Issues (Rej., Obj., etc)	Claims/ Fig. #s	Prior Art	Discussed	Agreed	Not Agreed
(1) <u>Rej.</u> 1-10, 12-24, 30-52		<u>Kato/Stebbing's</u>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(2) _____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(3) _____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(4) _____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
[ ] Continuation Sheet Attached					

#### Brief Description of Arguments to be Presented:

Kato and Stebbings not valid prior art under 35 U.S.C. 102 and 103

An interview was conducted on the above-identified application on \_\_\_\_\_.

**NOTE:** This form should be completed by applicant and submitted to the examiner in advance of the interview (see MPEP § 713.01).

This application will not be delayed from issue because of applicant's failure to submit a written record of this interview. Therefore, applicant is advised to file a statement of the substance of this interview (37 CFR 1.133(b)) as soon as possible.

Irah H. Donner

Typed/Printed Name of Applicant or Representative

Examiner/SPE Signature

Signature of Applicant or Representative

35,120

Registration Number, if applicable

This collection of information is required by 37 CFR 1.133. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.